

# SOME REMARKS ON LOCAL SOLVABILITY OF FUCHSIAN PARTIAL DIFFERENTIAL EQUATIONS FOR HYPERFUNCTIONS

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**Abstract.** Local solvability in the space of hyperfunctions is proved for Fuchsian elliptic or hyperbolic partial differential equations without any additional conditions.

Let  $P$  be a Fuchsian partial differential operator of weight  $m-k$  in the sense of Baouendi-Goulaouic [1]. The local solvability of the equation  $Pu=f$  for hyperfunctions ( $f$  given, and  $u$  unknown) has been proved by Tahara [7] in hyperbolic case and by Ôaku [6] in elliptic case. However, both authors assume some genericness conditions on characteristic exponents of  $P$ . The aim of this article is to remove these conditions.

First, let us recall the definition of Fuchsian partial differential operators (cf. [1]). Put  $N=\{x=(x_1, x_2, \dots, x_n) \in \mathbf{R}^n; x_1=0\}$  with an integer  $n \geq 2$ . We use the notation  $x'=(x_2, \dots, x_n)$ ,  $D_j=\partial/\partial x_j$ ,  $D'=(D_2, \dots, D_n)$ . Then  $P$  is said to be a *Fuchsian partial differential operator of weight  $m-k$  with respect to  $N$*  (around  $x^0 \in N$ ) if  $0 \leq k \leq m$  and if, on a neighborhood of  $x^0$ ,  $P$  is written in the form

$$P=a(x)\left(x_1^k D_1^m + \sum_{j=1}^m A_j(x, D') x_1^{\max(0, k-j)} D_1^{m-j}\right),$$

where  $A_j(x, D')$  is a linear partial differential operator of order at most  $j$  for  $j=1, \dots, m$ ;  $A_j(0, x', D')$  equals a function  $a_j(x')$  for  $j=1, \dots, k$ ;  $a(x')$  is a real analytic function with  $a(x^0) \neq 0$ . Then the *indicial equation* of  $P$  at  $x^0$  is the polynomial

$$e(P, \lambda, x^0) = \prod_{\nu=0}^{m-1} (\lambda - \nu) + \sum_{j=1}^k a_j(x^0) \prod_{\nu=0}^{m-j-1} (\lambda - \nu)$$

in  $\lambda$  and the *non-trivial characteristic exponents*  $\lambda_j = \lambda_j(x^0)$  ( $j=1, \dots, k$ ) of  $P$  at  $x^0$  are defined as the roots of the equation

$$\frac{e(P, \lambda, x^0)}{\prod_{\nu=0}^{m-k-1} (\lambda - \nu)} = 0$$

in  $\lambda$ .

In this article we assume that the principal symbol  $\sigma_m(P)(x, \xi)$  of  $P$  is written in the form

$$\sigma_m(P)(x, \xi) = x_1^* p_m(x, \xi)$$

with a (complex valued) real analytic function  $p_m(x, \xi)$  defined on  $U \times \mathbf{R}^n$  where  $U$  is an open neighborhood of  $x^0$ .

Then  $P$  is said to be *Fuchsian elliptic* (at  $x^0$ ) if  $p_m(x^0, \xi) \neq 0$  for any  $\xi \in \mathbf{R}^n \setminus \{0\}$ . Note that Fuchsian elliptic equations appear, e. g., in the representation theory of semi-simple Lie groups.

On the other hand,  $P$  is said to be *Fuchsian hyperbolic* if  $p_m$  is hyperbolic in the  $x_1$  direction, i. e., the equation  $p_m(x, \zeta, \xi') = 0$  in  $\zeta$  has only real roots for any  $x \in U$  and  $\xi' \in \mathbf{R}^{n-1}$ . One of the most typical Fuchsian hyperbolic operators is

$$x_1 \left( D_1^2 - \sum_{j=2}^n D_j^2 \right) + \alpha D_1$$

with  $\alpha \in \mathbf{C}$ , which is called the Euler-Poisson-Darboux operator.

We denote by  $\mathcal{B}_{x^0}$  the stalk at  $x^0$  of the sheaf  $\mathcal{B}$  of hyperfunctions. Hence,  $f \in \mathcal{B}_{x^0}$  means that  $f$  is a hyperfunction defined on a neighborhood (in  $\mathbf{R}^n$ ) of  $x^0$ , and  $f=0$  holds if and only if its restriction to some smaller neighborhood of  $x^0$  vanishes.

**Theorem.** *Let  $P$  be a Fuchsian elliptic or hyperbolic operator of weight  $m-k$  with  $0 \leq k \leq m$  with respect to a hypersurface  $N$  defined on a neighborhood of  $x^0 \in N$ . Then*

$$P: \mathcal{B}_{x^0} \longrightarrow \mathcal{B}_{x^0}$$

*is surjective; i. e., the equation  $Pu=f$  is locally solvable at  $x^0$  for any hyperfunction  $f$ .*

**Proof.** (i) First we assume  $\lambda_j \notin \{\nu \in \mathbf{Z}; \nu \geq m-k\}$  for any  $j=1, \dots, k$ . If  $P$  is Fuchsian elliptic, the local solvability has been proved in [6]. If  $P$  is Fuchsian hyperbolic, the local solvability was proved essentially by H. Tahara. However, in Theorem 2.3.6 of [7] an additional condition that  $\lambda_i - \lambda_j \notin \mathbf{Z}$  if  $i \neq j$  is imposed. Here we give a proof of local solvability without this additional assumption.

Hence now we assume that  $P$  is Fuchsian hyperbolic and that  $\lambda_j \notin \{\nu \in \mathbf{Z}; \nu \geq m-k\}$  for any  $j=1, \dots, k$ . Let  $\sqrt{-1}S^*\mathbf{R}^n = \mathbf{R}^n \times \sqrt{-1}S^{n-1} \ni (x, \sqrt{-1}\xi_\infty)$  be the purely imaginary cosphere bundle of  $\mathbf{R}^n$  with  $\xi = (\xi_1, \dots, \xi_n) = (\xi_1, \xi') \in \mathbf{R}^n \setminus \{0\}$  ( $\xi_\infty$  is the projection of  $\xi$  to the  $(n-1)$ -sphere  $S^{n-1}$ ). Put

$$Z = (\sqrt{-1}S^*\mathbf{R}^n|_N) \setminus \sqrt{-1}S_N^*\mathbf{R}^n = \{(0, x', \sqrt{-1}\xi_\infty); x' \in \mathbf{R}^{n-1}, \xi \in \mathbf{R}^n, \xi' \neq 0\}$$

and let

$$\rho: Z \longrightarrow \sqrt{-1}S^*N = \sqrt{-1}S^*R^{n-1}$$

be the map defined by  $\rho(0, x', \sqrt{-1}\xi_\infty) = (x', \sqrt{-1}\xi'_\infty)$ . We denote by  $\mathcal{C}$  the sheaf of microfunctions on  $\sqrt{-1}S^*R^n$  and by  $\rho_!$  the functor of direct image with proper support with respect to  $\rho$ .

By Theorem 2 of [3] (see also [4] for details of the proof), for any  $g \in \rho_!(\mathcal{C}|_Z)_{x^*}$  with  $x^* \in \sqrt{-1}S^*N$ , there exists a  $v \in \rho_!(\mathcal{C}|_Z)_{x^*}$  such that  $Pv = g$  and that  $D_1^\nu v(0, x') = 0$  for any  $\nu = 0, \dots, m-k-1$ . Moreover, in view of Theorem 2.3 of [5], such  $v$  is unique (Note that sections of  $\rho_!(\mathcal{C}|_Z)$  are naturally regarded as  $F$ -mild microfunctions in the sense of [5]).

Now let  $f \in \mathcal{B}_{x^0}$  and denote by  $\text{sp}(f)$  the spectrum of  $f$  (i.e. the microfunction defined by  $f$ ). By the flabbiness of the sheaf of microfunctions, we can take a microfunction  $g$  defined on  $V \times \sqrt{-1}S^{n-1}$  with an open neighborhood  $V$  of  $x^0$  such that  $g = \text{sp}(f)$  on

$$\{(x, \sqrt{-1}\xi_\infty) \in \sqrt{-1}S^*R^n; x \in V, |\xi_1| < |\xi'_1|\}$$

and its support  $\text{supp}(g)$  is contained in

$$\{(x, \sqrt{-1}\xi_\infty) \in \sqrt{-1}S^*R^n; x \in V, |\xi_1| \leq |\xi'_1|\}.$$

By the above argument, we can take, for any  $\eta_\infty \in S^{n-2}$ , a microfunction  $v_\eta$  on

$$\Omega(\eta) = \{(x, \sqrt{-1}\xi_\infty); |x - x^0| < \varepsilon(\eta), \xi \in R^n, |\xi' - \eta| < \varepsilon(\eta)\}$$

such that

$$\begin{aligned} Pv_\eta &= g \quad \text{on } \Omega(\eta), \\ D_1^\nu v_\eta(0, x') &= 0 \quad \text{for } \nu = 0, \dots, m-k-1, \\ \text{supp}(v_\eta) &\subset \left\{ (x, \sqrt{-1}\xi_\infty) \in \Omega(\eta); |\xi_1| \leq \frac{|\xi'_1|}{\varepsilon(\eta)} \right\} \end{aligned}$$

with some  $\varepsilon(\eta) > 0$ .

We can take finite number of  $\eta^{(1)}, \dots, \eta^{(j)} \in S^{n-2}$  such that

$$\bigcup_{j=1}^j \Omega(\eta^{(j)}) \supset Z_0 = Z \cap (\{x^0\} \times \sqrt{-1}S^{n-1}).$$

By the uniqueness we have  $v_{\eta^{(i)}} = v_{\eta^{(j)}}$  on a neighborhood of  $\Omega(\eta^{(i)}) \cap \Omega(\eta^{(j)}) \cap Z$ . Hence these  $v_{\eta^{(j)}}$ 's define a microfunction  $v$  on

$$\Omega = \{(x, \sqrt{-1}\xi_\infty); |x - x^0| < \varepsilon, |\xi_1| < |\xi'_1|\}$$

with some  $\varepsilon > 0$  such that  $Pv = \text{sp}(f)$  on  $\Omega$ . Hence by the same argument as the proof of Theorem 1 of [6], we get the surjectivity of

$$P: (\mathcal{B}/\mathcal{A})_{x_0} \longrightarrow (\mathcal{B}/\mathcal{A})_{x_0},$$

where  $\mathcal{A}$  denotes the sheaf of real analytic functions on  $\mathbf{R}^n$ . Since  $P: \mathcal{A}_{x_0} \rightarrow \mathcal{A}_{x_0}$  is surjective,  $P: \mathcal{B}_{x_0} \rightarrow \mathcal{B}_{x_0}$  is also surjective.

(ii) Now we make no assumptions on characteristic exponents. But we assume  $k=m$  for the moment. Let  $\lambda_1, \dots, \lambda_m$  be the characteristic exponents of  $P$  at  $x_0$ . We can take a nonnegative integer  $\nu$  such that  $\lambda_j - \nu \notin \{0, 1, 2, \dots\}$  for any  $j=1, \dots, m$ .

Note that  $P$  can be rewritten in the form

$$P = (x_1 D_1)^m + \sum_{j=1}^m B_j(x, D')(x_1 D_1)^{m-j}$$

with linear partial differential operators  $B_j(x, D')$  of order  $\leq j$  such that  $B_j(0, x', D')$  equals a function  $b_j(x')$ . Then it is easy to see that

$$e(P, \lambda, x^0) = \lambda^m + \sum_{j=1}^m b_j(x^0) \lambda^{m-j}.$$

Using the formula

$$x_1 D_1 x_1^\nu = x_1^\nu (x_1 D_1 + \nu)$$

(here  $x_1$  is considered as a differential operator of order 0) we have

$$P x_1^\nu = x_1^\nu Q$$

with

$$Q = (x_1 D_1 + \nu)^m + \sum_{j=1}^m B_j(x, D')(x_1 D_1 + \nu)^{m-j}.$$

Then  $Q$  is also a Fuchsian elliptic or hyperbolic operator (since  $\sigma_m(P) = \sigma_m(Q)$ ) and we have

$$e(Q, \lambda, x^0) = e(P, \lambda + \nu, x^0).$$

Since  $Q$  satisfies the assumptions in (i) with  $k=m$ ,

$$Q: \mathcal{B}_{x_0} \longrightarrow \mathcal{B}_{x_0}$$

is surjective. Since  $x_1: \mathcal{B}_{x_0} \rightarrow \mathcal{B}_{x_0}$  is surjective (see, e.g. [2] for the proof), we get the surjectivity of

$$x_1^\nu Q: \mathcal{B}_{x_0} \longrightarrow \mathcal{B}_{x_0}.$$

Hence for any  $f \in \mathcal{B}_{x_0}$  there exists  $u \in \mathcal{B}_{x_0}$  such that

$$P x_1^\nu u = x_1^\nu Q u = f.$$

This means the local solvability of  $P$ .

(iii) Finally we consider general case. First note that  $Q = x_1^{m-k} P$  is a Fuchsian elliptic or hyperbolic operator of weight 0. Put  $R = P x_1^{m-k}$ . Then

since

$$Qx_1^{m-k} = x_1^{m-k}R,$$

we know that  $R$  is also a Fuchsian elliptic or hyperbolic operator of weight 0 by the same argument as in (ii). Hence by (ii)

$$R: \mathcal{B}_{x_0} \longrightarrow \mathcal{B}_{x_0}$$

is surjective. This means the surjectivity of

$$P: \mathcal{B}_{x_0} \longrightarrow \mathcal{B}_{x_0}$$

since  $R = Px_1^{m-k}$ . This completes the proof.

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