

# CHM4M4 Simulation : Lecture Note

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(\* = advanced topics)

# 1 Molecular Hamiltonian

Molecules = electrons + nuclei

$$H = T_N + T_e + V_{eN} + V_{ee} + V_{NN}$$

e.g.,  $T_N = -\sum_I \frac{\hbar^2}{2M_I} \nabla_I^2$ ,  $V_{eN} = -\sum_I \sum_i \frac{Z_I}{|\mathbf{R}_I - \mathbf{r}_i|}$

Ideally, we wish to solve the whole problem,

$$H\Psi(\mathbf{R}, \mathbf{r}) = E\Psi(\mathbf{R}, \mathbf{r})$$

But this is too difficult for most of chemically interesting (complex) systems.

⇒ useful to separate the electronic and nuclear problems.

Electronic Hamiltonian

$$H_e = H - T_N = T_e + V_{eN} + V_{ee} + V_{NN}$$

## 2 Adiabatic Approximation (Born-Oppenheimer)

**Stage 1 :** Fix  $\{\mathbf{R}\}$  and solve the electronic problem

$$H_e \varphi_n(\mathbf{r}; \mathbf{R}) = W_n(\mathbf{R}) \varphi_n(\mathbf{r}; \mathbf{R})$$

$\varphi_n(\mathbf{r}; \mathbf{R}) \cdots$  electronic wavefunction (parametrically dependent on  $\{\mathbf{R}\}$ )

$W_n(\mathbf{R}) \cdots$  electronic energy levels at  $\{\mathbf{R}\}$

**Stage 2 :** Repeat at various  $\{\mathbf{R}\}$

⇒ Potential energy surface  $W_n(\mathbf{R})$

Diagram : Potential energy curves  $W_1$  and  $W_2$  (diatomic)

- Stages 1-2 = “Quantum chemistry” (narrow meaning)

**Stage 3 :** Examine nuclear dynamics on PES  $W_n(\mathbf{R})$

- quantum energy levels :

$$H_n^{(N)} \equiv T_N + W_n(\mathbf{R}) \quad (\text{nuclear Hamiltonian on } n\text{-th PES})$$

$$H_n^{(N)} \chi_v(\mathbf{R}) = E_{n,v} \chi_v(\mathbf{R})$$

- quantum dynamics : wavepacket simulations,  $i\hbar \frac{\partial}{\partial t} \chi_n(\mathbf{R}) = H_n^{(N)} \chi_n(\mathbf{R})$
- classical dynamics : molecular dynamics (MD) simulations,  $M_I \ddot{\mathbf{R}} = -\frac{\partial W_n(\mathbf{R})}{\partial \mathbf{R}}$
- statistical mechanics : Monte Carlo simulations (quantum or classical)

**Stage 4 :** Analysis of simulation results (statistical or dynamical)

### 3 Non-adiabatic couplings\*

Expand the total wavefunction in terms of the electronic wavefunction  $\{\varphi_n(\mathbf{r}; \mathbf{R})\}$

$$\Psi(r, R) = \sum_n \chi_n(R) \varphi_n(r; R) \quad (1)$$

Schrödinger equation with the total Hamiltonian:  $H = T_N + H_e$

$$[T_N + H_e] \Psi(r, R) = E \Psi(r, R) \quad (2)$$

Put (1) into (2), multiply  $\varphi_k^*$  and integrate over the electronic coordinate (i.e.,  $\langle \varphi_k | \times$  )

Use  $H_e \varphi_n = W_n \varphi_n$  and  $\langle \varphi_k | \varphi_n \rangle = \delta_{kn}$ ,

$$\sum_n \langle \varphi_k(r; R) | T_N | \varphi_n(r; R) \rangle \chi_n(R) + W_k(R) \chi_k(R) = E \chi_k(R) \quad (3)$$

Noting that  $T_N = -\sum_I (\hbar^2/2M_I) \nabla_I^2$  operates to both  $\varphi(r; R)$  and  $\chi(R)$ ,

$$\sum_n \left[ \langle \varphi_k | T_N | \varphi_n \rangle - \sum_I \frac{\hbar^2}{M_I} \langle \varphi_k | \nabla_I | \varphi_n \rangle \cdot \nabla_I \right] \chi_n(R) \quad (4)$$

$$+ T_N \chi_k(R) + W_k(R) \chi_k(R) = E \chi_k(R)$$

[Here,  $T_N$  and  $\nabla_I$  within  $\langle \varphi | \dots | \varphi \rangle$  do not operate to the further right]

**Adiabatic approximation:** Neglect the 1st line of (4)

$$[T_N + W_k(R)] \chi_k(R) = E \chi_k(R) \quad (= i\hbar \frac{\partial \chi_k}{\partial t}) \quad (5)$$

⇐ Schrödinger eq for the nuclear wfn  $\chi(R)$  on a (single adiabatic) PES  $W_k(R)$

neglected terms = **Non-adiabatic couplings**

⇒ induce mixing/transition among different electronic states  $k \leftrightarrow n$

- 1st order NA coupling :  $\frac{\hbar^2}{M_I} \langle \varphi_k | \nabla_I | \varphi_n \rangle$
- 2nd order NA coupling :  $\frac{\hbar^2}{2M_I} \langle \varphi_k | \nabla_I^2 | \varphi_n \rangle$

### 3.1 Mixed Quantum-classical Simulation

**Assume:** Nuclear motions follow classical trajectories  $\mathbf{R}(t)$

$$\Phi(\mathbf{r}, \mathbf{R}, t) \simeq \sum_n c_n(t) \varphi_n(\mathbf{r}; \mathbf{R}(t)) \quad (6)$$

$|c_n(t)|^2$  = probability of finding the system in the  $n$ -th electronic state.

How to determine  $\mathbf{R}(t)$  ? — not a trivial task

- Most conveniently, classical trajectories on the adiabatic PES  $W_n(R)$
- Then, switch among PES's via *non-adiabatic transitions* (“**surface-hopping**”)

Diagram : Curve crossing

Put (6) into the time-dependent Schrödinger eq :  $i\hbar \frac{\partial \Phi}{\partial t} = [T_N + H_e] \Phi$

$$i\hbar \sum_n \left( \frac{\partial c_n}{\partial t} \varphi_n + c_n \frac{\partial \varphi_n}{\partial t} \right) = \sum_n c_n [T_N + W_n(R)] \varphi_n$$

Multiply  $\varphi_k^*$  and integrate over the electronic coordinates (i.e.,  $\langle \varphi_k^* | \times$  )

Note the orthonormality  $\langle \varphi_k | \varphi_n \rangle = \delta_{kn}$

$$i\hbar \frac{\partial c_k}{\partial t} + i\hbar \sum_n c_n \left\langle \varphi_k \left| \frac{\partial \varphi_n}{\partial t} \right. \right\rangle = W_k(R) c_k + \sum_n c_n \langle \varphi_k | T_N | \varphi_n \rangle$$

- Neglect the 2nd order NA couplings  $\langle \varphi_k | T_N | \varphi_n \rangle$
- Use  $\left\langle \varphi_k \left| \frac{\partial}{\partial t} \varphi_n(\mathbf{r}; \mathbf{R}(t)) \right. \right\rangle = \langle \varphi_k | \nabla_R | \varphi_n \rangle \cdot \dot{\mathbf{R}}(t) \equiv \mathbf{d}_{kn}(\mathbf{R}) \cdot \dot{\mathbf{R}}(t)$   
 $\Rightarrow i\hbar \dot{c}_k(t) = W_k(\mathbf{R}) c_k(t) - i\hbar \sum_n \mathbf{d}_{kn}(\mathbf{R}) \cdot \dot{\mathbf{R}}(t) c_n(t)$

(coupled equations for the probability amplitudes  $\{c_n(t)\}$ )

## 4 Electronic part (Quantum chemistry)

### 4.1 Hartree-Fock Method

Electronic Hamiltonian

$$\begin{aligned} H_e &= T_e + V_{eN} + V_{ee} + V_{NN} \\ &= \sum_i \left( -\frac{\hbar^2}{2m} \nabla_i^2 - \sum_I \frac{Z_I}{R_{Ii}} \right) + \sum_{i < j} \frac{1}{r_{ij}} + \sum_{I < J} \frac{Z_I Z_J}{R_{IJ}} \\ &= \sum_i H^{core}(i) + V_{ee} + V_{NN} \end{aligned} \quad (7)$$

$V_{NN}$  is just a constant in the electronic problem (adiabatic approximation), so we hereafter consider  $h_e \equiv H_e - V_{NN}$

**Two-electron molecules (e.g.,  $\text{H}_2$ )**

$$h_e = H^{core}(1) + H^{core}(2) + \frac{1}{r_{12}}$$

Slater determinant :  $\psi(1, 2) = \frac{1}{\sqrt{2}} \begin{vmatrix} \varphi_1(1) & \varphi_1(2) \\ \varphi_2(1) & \varphi_2(2) \end{vmatrix} = \frac{1}{\sqrt{2}} (\varphi_1(1)\varphi_2(2) - \varphi_2(1)\varphi_1(2)) = \frac{1}{\sqrt{2}} |\varphi_1\varphi_2|$

Spin-orbitals :  $\varphi_1(1) = \phi_1(1)\alpha(1) = \phi_1(1)$   
 $\varphi_2(1) = \phi_1(1)\beta(1) = \bar{\phi}_1(1)$  (space orbital)  $\times$  (spin function  $\alpha, \beta$ )

Diagram : orbital diagrams  $\phi_1\bar{\phi}_1, \phi_1\bar{\phi}_2, \phi_1\phi_2$

Slater-determinants satisfy the **Pauli-Principle** of many-electron systems:

- Anti-symmetry :  $\psi(2, 1) = -\psi(1, 2)$
- Exclusion principle :  $\psi(1, 2) = 0$  if  $\varphi_1 = \varphi_2$  (space and spin)

**Energy :**  $E = \langle \psi(1, 2) | h_e | \psi(1, 2) \rangle = \int \int \psi(1, 2)^* h_e \psi(1, 2) d\tau_1 d\tau_2$

$$= \frac{1}{2} (\langle \phi_1(1) \bar{\phi}_1(2) | h_e | \phi_1(1) \bar{\phi}_1(2) \rangle - \langle \phi_1 \bar{\phi}_1 | h_e | \bar{\phi}_1 \phi_1 \rangle - \langle \bar{\phi}_1 \phi_1 | h_e | \phi_1 \bar{\phi}_1 \rangle + \langle \bar{\phi}_1 \phi_1 | h_e | \bar{\phi}_1 \phi_1 \rangle)$$

- The 1st term =  $\langle \phi_1(1) \bar{\phi}_1(2) | H^{core}(1) + H^{core}(2) + \frac{1}{r_{12}} | \phi_1(1) \bar{\phi}_1(2) \rangle$   
 $= \langle \phi_1(1) | H^{core}(1) | \phi_1(1) \rangle \langle \bar{\phi}_1(2) | \bar{\phi}_1(2) \rangle + \langle \phi_1(1) | \phi_1(1) \rangle \langle \bar{\phi}_1(2) | H^{core}(2) | \bar{\phi}_1(2) \rangle + \langle \phi_1 \bar{\phi}_1 | \frac{1}{r_{12}} | \phi_1 \bar{\phi}_1 \rangle$   
 $\equiv 2H_{11}^{core} + J_{11}$
- The 4th term gives the same result :  $2H_{11}^{core} + J_{11}$
- The 2nd term =  $\langle \phi_1 | H^{core} | \bar{\phi}_1 \rangle \langle \bar{\phi}_1 | \phi_1 \rangle + \langle \phi_1 | \bar{\phi}_1 \rangle \langle \bar{\phi}_1 | H^{core} | \phi_1 \rangle + \langle \phi_1 \bar{\phi}_1 | \frac{1}{r_{12}} | \bar{\phi}_1 \phi_1 \rangle$   
 $= 0$  because  $\langle \alpha | \beta \rangle = 0$
- The 3rd term is also zero.

Thus,  $E = 2H_{11}^{core} + J_{11}$

Let us next consider an open-shell singlet configuration  $|\phi_1 \bar{\phi}_2\rangle$  ( $\alpha$  electron in  $\phi_1$  and  $\beta$  in  $\phi_2$ )

In the similar way, we get

$$E = H_{11}^{core} + H_{22}^{core} + J_{12}$$

where  $J_{12} \equiv \langle \phi_1 \phi_2 | \frac{1}{r_{12}} | \phi_1 \phi_2 \rangle \equiv \langle 12 || 12 \rangle = J_{21} = \langle \phi_2 \phi_1 | \frac{1}{r_{12}} | \phi_2 \phi_1 \rangle \equiv \langle 21 || 21 \rangle$

Triplet configuration  $|\phi_1 \phi_2\rangle$  ( $\alpha$  electrons in both  $\phi_1$  and  $\phi_2$ ) gives

$$E = H_{11}^{core} + H_{22}^{core} + J_{12} - K_{12}$$

where  $K_{12} \equiv \langle 12 || 21 \rangle = K_{21}$

$J_{ij}$  and  $K_{ij}$  are called **Coulomb** and **Exchange** integrals, respectively.

These examples suggest the following rule to write down **energies of electron configurations**

- Each electron in orbital  $\phi_i$  contributes  $H_{ii}^{core}$

- Each electron pair in orbitals  $\phi_i$  and  $\phi_j$  contributes  $J_{ij}$  (regardless of the spin)
- Each electron pair of the same spin in orbitals  $\phi_i$  and  $\phi_j$  contributes  $-K_{ij}$

**Exercise :** Write down the energy expression for the following electron configurations.

1.  $\alpha$  and  $\beta$  electron pair in  $\phi_1$  and an  $\alpha$  electron in  $\phi_2$  (open-shell doublet)
2. paired ( $\alpha$  and  $\beta$ ) electrons in both  $\phi_1$  and  $\phi_2$  (closed-shell)

Answer :

1.  $E = 2H_{11}^{core} + H_{22}^{core} + J_{11} + 2J_{12} - K_{12}$
2.  $E = 2H_{11}^{core} + 2H_{22}^{core} + J_{11} + J_{22} + 4J_{12} - 2K_{12}$

In general, for closed-shell  $N$ -electron systems,

$$E = \sum_{i=1}^{N/2} H_{ii}^{core} + \sum_{i=1}^{N/2} J_{ii} + \sum_{i=1}^{N/2} \sum_{j=i+1}^{N/2} (4J_{ij} - 2K_{ij})$$

This can be simplified by noting  $J_{ii} = K_{ii}$

$$E = \sum_{i=1}^{N/2} H_{ii}^{core} + \sum_{i=1}^{N/2} \sum_{j=1}^{N/2} (2J_{ij} - K_{ij})$$

## Hartree-Fock Method

= find variationally best MOs  $\{\phi_i\}$  under the orthonormality condition  $\langle \phi_i | \phi_j \rangle = \delta_{ij}$

$\Rightarrow$  minimize  $L \equiv E + \sum_i \sum_j \epsilon_{ij} (\delta_{ij} - \langle \phi_i | \phi_j \rangle)$  w.r.t. the variation of MOs  $\phi_i \rightarrow \phi_i + \delta\phi_i$

(where  $\epsilon_{ij}$  is the Lagrange multiplier)

$\Rightarrow$  Hartree-Fock equation :  $\hat{F}_i \phi_i = \sum_j \epsilon_{ij} \phi_j$

where the Fock-operator is defined as  $\hat{F}_i \equiv \hat{H}^{core} + \sum_{j=1}^{N/2} (2\hat{J}_j - \hat{K}_j)$  [for closed-shell systems]

The Coulomb and Exchange operators  $\hat{J}_j$  and  $\hat{K}_j$  are defined by

$$\hat{J}_j(1)\phi_i(1) = \langle \phi_j(2) | \frac{1}{r_{12}} | \phi_j(2) \rangle \phi_i(1)$$

$$\hat{K}_j(1)\phi_i(1) = \langle \phi_j(2) | \frac{1}{r_{12}} | \phi_i(2) \rangle \phi_j(1)$$

**Comment :** The bottleneck for the first-learner would be the abstractness of the functional variation of MOs  $\phi_i \rightarrow \phi_i + \delta\phi_i$ . In practical calculations, however, we employ LCAO-MO expansion of the MOs  $\phi_i = \sum_{\nu} c_{\nu i} \chi_{\nu}$  where  $\chi$  is the atomic orbitals (AO), and optimize

the coefficients  $c_{\nu i}$ . This reduces the problem to a (non-linear) matrix eigenvalue problem which is much more handy for computer implementations. (**Hartree-Fock-Roothaan-Hall method**)

### Summary of Hartree-Fock method

- Assumes a single Slater-determinant for the electronic wavefunction, which satisfies the Pauli Principle of many-electron systems
- and variationally optimizes the MOs by minimizing the exact energy expression for the Slater-determinant wavefunction under the orthonormality condition of the MOs.
- The electronic energy is expressed by one-electron integrals  $H_{ii}^{core}$  and Coulomb and Exchange two-electron integrals  $J_{ij}$  and  $K_{ij}$ .

## 4.2 Electron Correlation Problem

### 4.2.1 Static and Dynamic Correlations

### 4.2.2 Various Methods

- CI, MPn, MCSCF, MRCI, CC, MRMP, etc.

## 4.3 Density Functional Theory

### 4.3.1 Kohn-Sham theory

### 4.3.2 Hybrid Hartree-Fock / DFT

## 4.4 Other Methods

- Valence-Bond Method
  - non-orthogonal orbitals
  - chemically intuitive resonance structures
- Semi-empirical MO Methods
  - Approximations: neglect of differential overlaps, empirical parameters
  - CNDO, INDO, MINDO, PM3, AM1, etc. etc.

# 5 Potential Energy Surfaces

## Functional fitting

- Choice of the functional form (physically adequate asymptotic behavior, symmetry etc.)
- Empirical parametrization using e.g. spectroscopic data
- Ab initio parametrization using quantum chemical calculations
- Dimensionality problem : when the system has  $f$  degrees of freedom ( $f = 3N - 6$  for non-linear  $N$ -atoms molecules), and if  $M$  data points are needed per degree of freedom for the functional fitting, the total number of data points required,  $M^f$ , may be prohibitively huge for realistic systems. (e.g.,  $M \sim 10$  and  $N = 6$  requires  $10^{12}$  points.)

## On-the-fly evaluation of the potential $W_n(R)$ and gradient $\partial W_n/\partial R$

- Ab initio MD, Car-Parrinello MD
- Still computationally expensive, but becoming feasible along with the increase of the computer power

## 5.1 Empirical Force-Field

Standard FF for MD simulations of biomolecules

$$W = \sum_{bonds} K_R(R - R_e)^2 + \sum_{angles} K_\theta(\theta - \theta_e)^2 + \sum_{torsions} V_n[1 + \cos(n\phi - \gamma)] \\ + \sum_{atoms(i<j)} \frac{Q_i Q_j}{r_{ij}} + \sum_{atoms(i<j)} 4\epsilon_{ij} \left[ \left( \frac{\sigma_{ij}}{r_{ij}} \right)^{12} - \left( \frac{\sigma_{ij}}{r_{ij}} \right)^6 \right]$$

- First three terms = bonding potential
- Last two terms = non-bonding interaction (Electrostatic + Short-range repulsion)
- Inadequate for bond-breaking and -forming processes
- Lack of electronic polarization effects, charge-transfer interaction

## 5.2 Hybrid Methods\*

### 5.2.0 Classical Mechanics

$$M_I \ddot{R}_I(t) = -\frac{\partial}{\partial R_I} W_e^{\text{model}}(R(t))$$

#### 5.2.1 TDSCF MD

- On-the-fly evaluation of the local potential  $W(R) = \langle \Psi_0(r; R) | H_e(r; R) | \Psi_0(r; R) \rangle_e$
- Time-dependent propagation of the (ground state) electronic wavefunction  $\Psi_0(r; R)$ .

$$\begin{cases} M_I \ddot{R}_I(t) &= -\frac{\partial}{\partial R_I} \langle \Psi_0 | H_e | \Psi_0 \rangle \\ i\hbar \frac{\partial \Psi_0}{\partial t} &= H_e \Psi_0 \end{cases}$$

Simple model of  $\Psi_0$  is often employed (rather than carrying out quant. chem. calculations), e.g., basis function expansion  $\Psi_0(t) = \sum_i c_i(t) F(r; R)$

#### 5.2.2 Born-Oppenheimer MD

$$\begin{cases} M_I \ddot{R}_I(t) &= -\frac{\partial}{\partial R_I} \min_{\Psi_0} \{ \langle \Psi_0 | H_e | \Psi_0 \rangle \} \\ E_0 \Psi_0 &= H_e \Psi_0 \end{cases}$$

Optimize the electronic wavefunction  $\Psi_0$  at each nuclear configuration  $R$  (rather than propagating it as in TDSCF MD).

#### 5.2.3 Hartree-Fock BO MD

If we employ the Hartree-Fock wavefunction :  $\Psi_0^{\text{HF}} = \det\{\psi_i\}$

where  $\psi_i = \text{HF orbitals}$  (1-electron, orthonormal),

$$\min_{\Psi_0} \{ \langle \Psi_0 | H_e | \Psi_0 \rangle \} \Rightarrow \min_{\{\psi_i\}} \{ \langle \Psi_0^{\text{HF}} | H_e | \Psi_0^{\text{HF}} \rangle \} \Big|_{\langle \psi_i | \psi_j \rangle = \delta_{ij}}$$

i.e., minimization within the  $\{\psi_i\}$ -space under the constraint  $\langle \psi_i | \psi_j \rangle = \delta_{ij}$ .

HF Lagrangian :  $\mathcal{L}_e^{\text{HF}} = \langle \Psi_0^{\text{HF}} | H_e | \Psi_0^{\text{HF}} \rangle - \sum_{i,j} \epsilon_{ij} (\langle \psi_i | \psi_j \rangle - \delta_{ij})$   
 $\epsilon_{ij} = \text{Lagrange multipliers}$

Variational (stationary) condition :

$$\frac{\delta \mathcal{L}_e^{\text{HF}}}{\delta \psi_i^*} = \frac{\delta \mathcal{L}_e^{\text{HF}}}{\delta \psi_i} = 0 \quad \Rightarrow \quad \hat{F}_i \psi_i = \sum_j \epsilon_{ij} \psi_j \quad (\text{HF equation})$$

- Fock-operator :  $\hat{F}_i \equiv \hat{H}^{\text{core}} + \sum_{j=1}^{N/2} (2\hat{J}_j - \hat{K}_j)$  [for closed-shell systems]
- canonical (diagonal) form :  $\hat{F}_i \psi_i = \epsilon_i \psi_i$  ( $\epsilon_i$  = orbital energy)
- may also use Kohn-Sham (DFT)  $\hat{F}^{\text{KS}}$  and KS orbitals

**HF BO MD :**

$$\begin{cases} M_I \ddot{R}_I(t) = -\frac{\partial}{\partial R_I} \langle \Psi_0^{\text{HF}} | H_e | \Psi_0^{\text{HF}} \rangle & (\Psi_0^{\text{HF}} = \det\{\psi_i\}) \\ 0 = -\hat{F}_i \psi_i + \sum_j \epsilon_{ij} \psi_j \end{cases}$$

This set of equations can be derived from an Extended Lagrangian :

$$\mathcal{L}_{\text{BO}} = \sum_I \frac{1}{2} M_I \dot{R}_I^2 - \langle \Psi_0^{\text{HF}} | H_e | \Psi_0^{\text{HF}} \rangle + \sum_{i,j} \epsilon_{ij} (\langle \psi_i | \psi_j \rangle - \delta_{ij})$$

by assuming that the Euler-Lagrange equation of the classical mechanics applies for both the nuclear and electronic (orbital) degrees of freedom

$$\Rightarrow \text{Euler-Lagrange eq : } \frac{d}{dt} \frac{\partial \mathcal{L}_{\text{BO}}}{\partial \dot{q}} - \frac{\partial \mathcal{L}_{\text{BO}}}{\partial q} = 0 \quad \text{for } q = R_I, \psi_i, \psi_i^*$$

(Note : functional derivatives for  $\psi_i$  and  $\psi_i^*$ )

### 5.2.4 Car-Parrinello MD

Introduce : fictitious mass and kinetic energy for electronic (orbital) degrees of freedom

$\Rightarrow$  Extended Lagrangian :

$$\mathcal{L}_{\text{CP}} = \sum_I \frac{1}{2} M_I \dot{R}_I^2 + \sum_i \frac{1}{2} \mu_i |\dot{\psi}_i|^2 - \langle \Psi_0^{\text{HF}} | H_e | \Psi_0^{\text{HF}} \rangle + \text{constraints}$$

e.g., constraints = MO orthonormality =  $\sum_{i,j} \epsilon_{ij} (\langle \psi_i | \psi_j \rangle - \delta_{ij})$

$$\text{Euler-Lagrange eq } \Rightarrow \begin{cases} M_I \ddot{R}_I(t) = -\frac{\partial}{\partial R_I} \langle \Psi_0^{\text{HF}} | H_e | \Psi_0^{\text{HF}} \rangle + \frac{\partial}{\partial R_I} \{\text{constraints}\} \\ \mu_i \ddot{\psi}_i(t) = -\frac{\delta}{\delta \psi_i^*} \langle \Psi_0^{\text{HF}} | H_e | \Psi_0^{\text{HF}} \rangle + \frac{\delta}{\delta \psi_i^*} \{\text{constraints}\} \end{cases}$$

### Car-Parrinello HF MD

$$\begin{cases} M_I \ddot{R}_I(t) = -\frac{\partial}{\partial R_I} \langle \Psi_0^{\text{HF}} | H_e | \Psi_0^{\text{HF}} \rangle & (\Psi_0^{\text{HF}} = \det\{\psi_i\}) \\ \mu_i \ddot{\psi}_i(t) = -\hat{F}_i \psi_i + \sum_j \epsilon_{ij} \psi_j \end{cases}$$

( may also use Kohn-Sham Fock operator  $\hat{F}^{\text{KS}}$  )

- Electronic (orbital) degrees of freedom (El-DoF) are treated as dynamical variables
- No (strict) minimization in the MO  $\{\psi_i\}$ -space
- Deviate from BO-MD due to thermal fluctuations of the El-DoF
- The dynamics of the El-DoF must be kept cool (eg using constraints)

Diagram : CP-MD trajectory in the coordinate and orbital space

## 6 Molecular Dynamics Simulation

### 6.1 Summary of Classical Mechanics

- Newtonian EOM

$$m\ddot{x} = F = -\frac{\partial V(x)}{\partial x}$$

- Principle of Least Action

$$\text{Action : } I \equiv \int_{t_1}^{t_2} \mathcal{L}(x, \dot{x}) dt$$

The classical trajectory  $x(t_1) \rightarrow x(t_2)$  minimizes the action  $I$  against small variation  $\delta x(t)$  (with fixed ends  $\delta x(t_1) = \delta x(t_2) = 0$ ).

$$\begin{aligned} \delta I &= \int_{t_1}^{t_2} dt \delta \mathcal{L} = \int_{t_1}^{t_2} dt \left( \frac{\partial \mathcal{L}}{\partial x} \delta x + \frac{\partial \mathcal{L}}{\partial \dot{x}} \delta \dot{x} \right) \\ &= \int_{t_1}^{t_2} dt \left\{ \frac{\partial \mathcal{L}}{\partial x} - \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{x}} \right) \right\} \delta x + \left. \frac{\partial \mathcal{L}}{\partial \dot{x}} \delta x \right|_{t_1}^{t_2} \end{aligned}$$

Stationary condition  $\delta I = 0$  for arbitrary  $\delta x(t)$

$$\Rightarrow \text{Euler-Lagrange eq : } \frac{\partial \mathcal{L}}{\partial x} - \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{x}} \right) = 0$$

This is easily seen to give the Newtonian EOM for  $\mathcal{L} = T - V = \frac{m}{2}\dot{x}^2 - V(x)$

- **Hamiltonian EOM** : Momentum and Hamiltonian are defined by

$$p \equiv \frac{\partial \mathcal{L}}{\partial \dot{x}} \quad \text{and} \quad H \equiv p\dot{x} - \mathcal{L}$$

For Cartesian coordinates, we get  $p = m\dot{x}$  and  $H = p^2/2m + V(x)$ , and it is easy to see that the following Hamiltonian EOM is equivalent to Newtonian EOM:

$$\dot{x} = \frac{\partial H}{\partial p} \quad \text{and} \quad \dot{p} = -\frac{\partial H}{\partial x}$$

*Lagrange and Hamilton theories are more flexible and convenient when dealing with general coordinate systems other than the Cartesian.*

## 6.2 Integration

### 6.2.1 Verlet algorithm

$$\begin{aligned} \mathbf{r}(t + \delta t) &= \mathbf{r}(t) + \dot{\mathbf{r}}(t)\delta t + \frac{1}{2}\ddot{\mathbf{r}}(t)\delta t^2 + \dots \\ &= \mathbf{r}(t) + \mathbf{v}(t)\delta t + \frac{1}{2}\mathbf{a}(t)\delta t^2 + \dots \end{aligned}$$

$$\mathbf{r}(t - \delta t) =$$

$$\Rightarrow \mathbf{r}(t + \delta t) = 2\mathbf{r}(t) - \mathbf{r}(t - \delta t) + \mathbf{a}(t)\delta t^2$$

- error is of order  $\mathcal{O}(\delta t^4)$
- time-reversible
- requires storage of the previous position  $\mathbf{r}(t + \delta t)$
- small term  $\mathbf{a}(t)\delta t^2$  is added to a difference of large terms  $2\mathbf{r}(t) - \mathbf{r}(t - \delta t)$ 
  - $\Rightarrow$  numerical round-off imprecisions
- velocities are unnecessary to evolve the trajectory, but needed when calculating the kinetic energy

$$\mathbf{v}(t) = \frac{\mathbf{r}(t + \delta t) - \mathbf{r}(t - \delta t)}{2\delta t}$$

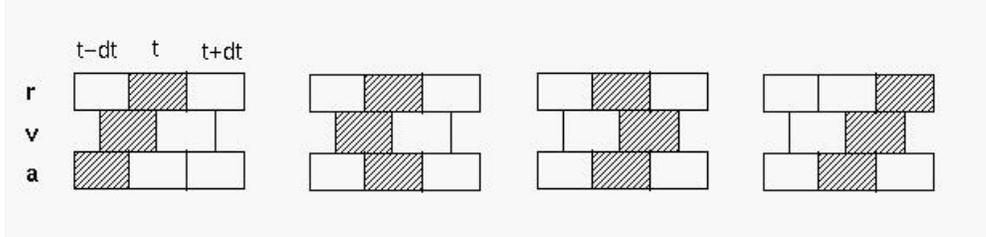
- error is of order  $\mathcal{O}(\delta t^3)$
- small difference is divided by the small timestep  $\Rightarrow$  numerical imprecisions

## 6.2.2 Leap-frog algorithm

Propagate position and velocity

$$\mathbf{r}(t + \delta t) = \mathbf{r}(t) + \mathbf{v}(t + \frac{\delta t}{2})\delta t$$

$$\mathbf{v}(t + \frac{\delta t}{2}) = \mathbf{v}(t - \frac{\delta t}{2}) + \mathbf{a}(t)\delta t$$



- mathematically equivalent to Verlet method (easily verified by eliminating the velocities)
- velocities (& kinetic energy) at time  $t$

$$\mathbf{v}(t) = \frac{\mathbf{v}(t + \frac{\delta t}{2}) + \mathbf{v}(t - \frac{\delta t}{2})}{2}$$

- advantages over the original Verlet
  - less problematic on the numerical round-off due to taking differences
  - explicit appearance of velocities
  - criticisms : treatment of velocities — still not very satisfactory

## 6.2.3 Velocity-Verlet algorithm

$$\mathbf{r}(t + \delta t) = \mathbf{r}(t) + \mathbf{v}(t)\delta t + \frac{1}{2}\mathbf{a}(t)\delta t^2$$

$$\mathbf{v}(t + \frac{\delta t}{2}) = \mathbf{v}(t) + \mathbf{a}(t)\frac{\delta t}{2}$$

$$\mathbf{v}(t + \delta t) = \mathbf{v}(t + \frac{\delta t}{2}) + \mathbf{a}(t + \delta t)\frac{\delta t}{2}$$

- mathematically equivalent to the previous two

## 6.3 Treatment of Molecules

- Time scales :
  - bond stretch < bend < torsion < collective motions < rotation < translation
- Fixing bond lengths (to save CPU)
  1. treat as a rigid body (small molecules such as H<sub>2</sub>O)
  2. transform the EOM to internal coordinates (e.g., GF-matrix method)
  3. introduce bond constraint condition to the Lagrangian
    - ⇒ constrained EOM (SHAKE and RATTLE methods)
- Multiple time step method(s)
  - small time step for fast motions
  - frequent update of short-range interactions

### 6.3.1 Multiple time step method (r-RESPA)\*

r-RESPA = reversible REference System Propagator Algorithm

Classical Liouville operator :

$$iL \equiv \{ \dots, H \} = \sum_{i=1}^f \left[ \frac{\partial H}{\partial p_i} \frac{\partial}{\partial q_i} - \frac{\partial H}{\partial q_i} \frac{\partial}{\partial p_i} \right]$$

$$\text{Poisson bracket : } \{A, B\} = \sum_{i=1}^f \left[ \frac{\partial A}{\partial q_i} \frac{\partial B}{\partial p_i} - \frac{\partial A}{\partial p_i} \frac{\partial B}{\partial q_i} \right]$$

Propagation of the phase-space point  $\Gamma = \{q_i(t), p_i(t)\}$

$$\Gamma(t + \Delta t) = e^{iL\Delta t} \Gamma(t)$$

In Cartesian coordinate with  $H = \sum_i \frac{p_i^2}{2m_i} + V(\mathbf{x})$ ,  $p_i = m_i v_i$ ,  $\left( F_i = -\frac{\partial V}{\partial x_i} = \text{force} \right)$

$$iL = \sum_i \left[ v_i \frac{\partial}{\partial x_i} + \frac{F_i}{m_i} \frac{\partial}{\partial v_i} \right]$$

Using  $e^{c \frac{\partial}{\partial y}} f(y) = f(y + c)$ , we find :

- $e^{v\Delta t \frac{\partial}{\partial x}}$  propagates  $x$  to  $x + v\Delta t$
- $e^{\Delta t \frac{F}{m} \frac{\partial}{\partial v}}$  propagates  $v$  to  $v + \frac{F}{m} \Delta t$

## Trotter decomposition

$$e^{i(L_1+L_2)\Delta t} = e^{iL_1\Delta t/2} e^{iL_2\Delta t} e^{iL_1\Delta t/2} + \mathcal{O}(\Delta t^3)$$

If we choose :  $iL_1 = \frac{F}{m} \frac{\partial}{\partial v}$ ,  $iL_2 = v \frac{\partial}{\partial x}$

$$\Gamma(t + \Delta t) = e^{\frac{\Delta t}{2} \frac{F}{m} \frac{\partial}{\partial v}} e^{\Delta t v \frac{\partial}{\partial x}} e^{\frac{\Delta t}{2} \frac{F}{m} \frac{\partial}{\partial v}} \cdot \Gamma(t) + \mathcal{O}(\Delta t^3)$$

From right to left :

- $e^{\frac{\Delta t}{2} \frac{F}{m} \frac{\partial}{\partial v}}$  propagates  $v$  to  $v + \frac{F}{m} \frac{\Delta t}{2}$
- $e^{\Delta t v \frac{\partial}{\partial x}}$  propagates  $x$  to  $x + v\Delta t$
- $e^{\frac{\Delta t}{2} \frac{F}{m} \frac{\partial}{\partial v}}$  propagates  $v$  to  $v + \frac{F}{m} \frac{\Delta t}{2}$  (with updated force  $F(x)$ )

*This is exactly the Velocity-Verlet algorithm*

**Decomposition of forces :** (fast/slow, tight/soft, short/long-range etc.)

$$F = F_{\text{fast}} + F_{\text{slow}}$$

Accordingly,  $iL_1 = \frac{F_{\text{slow}}}{m} \frac{\partial}{\partial v}$   $iL_2 = v \frac{\partial}{\partial x} + \frac{F_{\text{fast}}}{m} \frac{\partial}{\partial v}$ ,

Then, the propagator will be

$$e^{\frac{\Delta t}{2} \frac{F_{\text{slow}}}{m} \frac{\partial}{\partial v}} e^{\Delta t (v \frac{\partial}{\partial x} + \frac{F_{\text{fast}}}{m} \frac{\partial}{\partial v})} e^{\frac{\Delta t}{2} \frac{F_{\text{slow}}}{m} \frac{\partial}{\partial v}}$$

We further decompose the propagator in the middle into  $n$  micro-steps with  $\delta t \equiv \Delta t/n$

$$e^{\frac{\Delta t}{2} \frac{F_{\text{slow}}}{m} \frac{\partial}{\partial v}} \left[ e^{\frac{\delta t}{2} \frac{F_{\text{fast}}}{m} \frac{\partial}{\partial v}} e^{\delta t v \frac{\partial}{\partial x}} e^{\frac{\delta t}{2} \frac{F_{\text{fast}}}{m} \frac{\partial}{\partial v}} \right]^n e^{\frac{\Delta t}{2} \frac{F_{\text{slow}}}{m} \frac{\partial}{\partial v}}$$

**Implementation** It might be easier to see the code :

```

delta t = Delta t / n                                ! micro-timestep (for fast motions)
do istep = 1, nstep                                  ! overall simulation steps
  v = v + (Delta t / 2) * (F_slow / m)
  do j = 1, n                                         ! inner loop for fast motions
    v = v + (delta t / 2) * (F_fast / m)
    x = x + delta t * v
    call calculate_force(F_fast)
    v = v + (delta t / 2) * (F_fast / m)
  end do
  call calculate_force(F_slow)
  v = v + (Delta t / 2) * (F_slow / m)
end do

```

## 6.4 Constant Temperature and Pressure Methods

### 6.4.1 Common Statistical Ensembles

- constant NVE (microcanonical)
- constant NVT (canonical)
- constant NPT (isothermal-isobaric)
- constant  $\mu$ VT (grand canonical)     [ $\mu$  = chemical potential]

Straightforward / standard use of :

- MD  $\Rightarrow$  microcanonical     (energy conservation of classical mech.)
- MC  $\Rightarrow$  canonical     (Metropolis algorithm)

Statistical average (constant temperature)

$$\langle A(q, p) \rangle = \frac{1}{Q} \int \int dp dq A(q, p) e^{-H(q, p)/k_B T}$$

where  $Q$  is the partition function :  $Q \equiv \int \int dp dq e^{-H(q, p)/k_B T}$

### 6.4.2 Temperature and Pressure from MD

Equipartition theorem :

$$\langle \frac{p_{\alpha i}^2}{2m_i} \rangle = \frac{k_B T}{2} \quad (\alpha = x, y, z)$$

i.e. average kinetic energy of  $k_B T/2$  for each degree of freedom

The kinetic temperature is thus computed in the classical MD by

$$T = \frac{1}{3Nk_B} \langle \sum_i \frac{\mathbf{p}_i^2}{m_i} \rangle$$

**Pressure from MD :**      $PV = Nk_B T + \langle W \rangle$

$$\text{Virial : } W \equiv \frac{1}{3} \sum_{i=1}^N \mathbf{r}_i \cdot \mathbf{f}_i^{\text{int}} \quad \left( \mathbf{f}_i^{\text{int}} = -\frac{\partial V}{\partial \mathbf{r}_i} \right)$$

### 6.4.3 Constant NVT MD\*

#### Extended system method (Nosé-Hoover)

Couple with external heat bath  $\Rightarrow$  **friction** parameter  $\eta$  (fictitious mass  $Q$ )

$$\begin{aligned}\dot{\mathbf{r}}_i &= \frac{\mathbf{p}_i}{m_i}, & \dot{\mathbf{p}}_i &= \mathbf{f}_i - \frac{p_\eta}{Q} \mathbf{p}_i \\ \dot{\eta} &= \frac{p_\eta}{Q}, & \dot{p}_\eta &= \sum_{i=1}^N \frac{\mathbf{p}_i^2}{m_i} - N_f k_B T\end{aligned}$$

( $N_f$  = number of (unconstrained) degrees of freedom =  $3N - N_c$ )

- proven to generate canonical ensemble
- Conserved quantity (for coding checks) :

$$E = \sum_i \frac{\mathbf{p}_i^2}{2m_i} + V(\mathbf{r}) + \frac{p_\eta^2}{2Q} + N_f k_B T \eta$$

### 6.4.4 Constant Pressure MD\*

Constant pressure  $P$  in simulation  $\Leftarrow$  Volume control (as a dynamical variable)

$\Rightarrow$  coordinate scaling :  $\mathbf{r}_i = V^{1/3} \mathbf{s}_i$

extended Lagrangian : ( $Q$  = fictitious mass for  $V$ )

$$\mathcal{L} = \frac{1}{2} \sum_i m_i \mathbf{v}_i^2 - V(\mathbf{r}) + \frac{1}{2} Q \dot{V}^2 - PV$$

Euler-Lagrange eq :  $\frac{d}{dt} \frac{\partial \mathcal{L}}{\partial \dot{q}} - \frac{\partial \mathcal{L}}{\partial q} = 0$  for  $q = \mathbf{s}_i, V$

$$\Rightarrow \ddot{\mathbf{s}}_i = \frac{1}{V^{1/3}} \frac{\mathbf{f}_i}{m_i} - \frac{2}{3V} \dot{\mathbf{s}} \dot{V}, \quad \ddot{V} = \frac{1}{Q} (P - P)$$

$$P \equiv \frac{1}{3V} \left( \sum_i m_i \mathbf{v}_i^2 + W \right) \quad (W = \text{virial})$$

## 7 Data Analysis

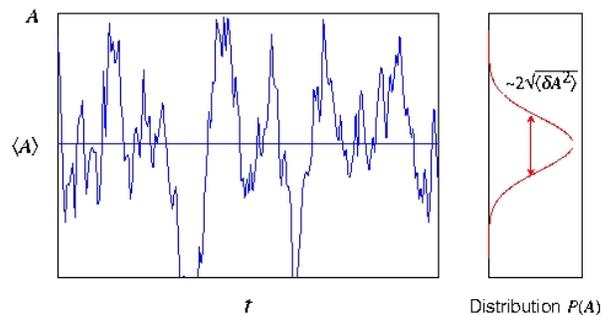
### 7.1 Static Properties

**Ergodic hypothesis** : Statistical ensemble average = average over long time

$$\langle A \rangle = \frac{1}{\tau_{\text{run}}} \int_0^{\tau_{\text{run}}} A(t) dt = \frac{1}{N_{\text{run}}} \sum_{k=1}^{N_{\text{run}}} A_k$$

where  $\tau_{\text{run}} = N_{\text{run}} \Delta t$  ( $N_{\text{run}}$  steps,  $A_k = A(k\Delta t)$ )

- RMS deviation =  $\sqrt{\langle \delta A^2 \rangle}$  ( $\delta A \equiv A - \langle A \rangle$ )



## Practical

**Method 1:** save the whole sequence of  $A(t) \Rightarrow$  analyse after simulation

**Method 2:** "on-the-fly" summing up.

For RMS, we sum up  $\delta A = A - \langle A \rangle$ . However, we don't know  $\langle A \rangle$  until the end of simulation. The following conversion is then useful:

$$\langle \delta A^2 \rangle = \langle (A - \langle A \rangle)^2 \rangle = \dots = \langle A^2 \rangle - \langle A \rangle^2$$

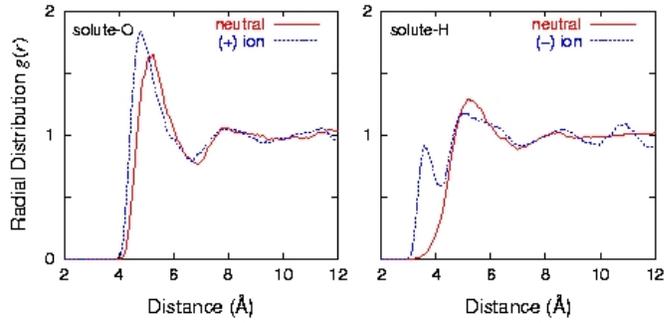
```

sum = 0 ; sum2 = 0
do istep = 1, nstep           ! overall simulation steps
  ...
  call calculate_quantities(A)
  sum = sum + A
  sum2 = sum2 + A^2
  ...
end do
average = sum/nstep
variance = sum2/nstep - average^2
RMS = sqrt(variance)

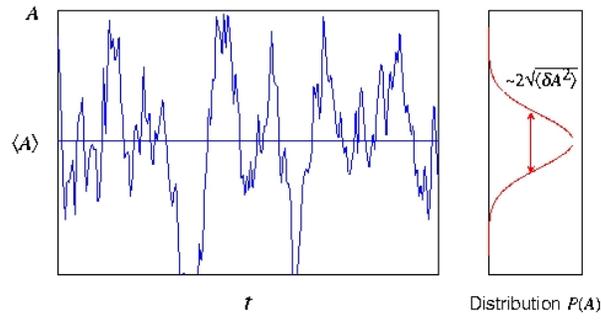
```

## 7.2 Radial distribution function

Example : Ion pair in water



### 7.3 Time correlation function



correlation between points with time interval  $\tau$

$$C_{AA}(\tau) = \langle \delta A(0) \delta A(\tau) \rangle = \frac{1}{t_{\text{run}} - \tau} \int_0^{t_{\text{run}} - \tau} \delta A(t) \delta A(t + \tau) dt$$

- $C_{AA}(0) = 1$
- For random motions (e.g. in liquids)

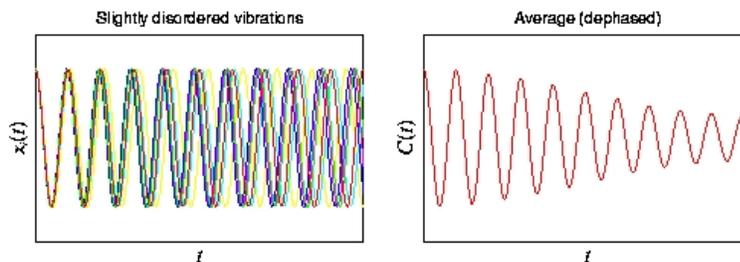
$$C_{AA}(t) \rightarrow 0 \text{ as } t \rightarrow +\infty \quad (\text{loss of correlation})$$

- For "regular" motions (eg. harmonic oscillators / phonons in "perfect" solids)

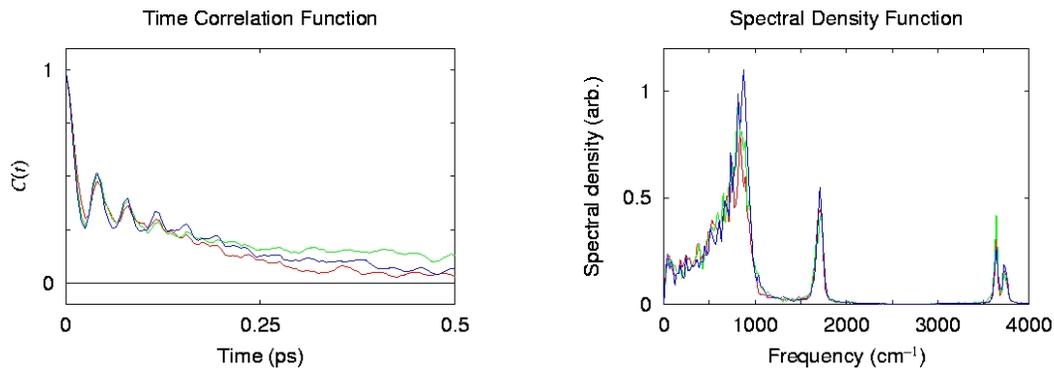
$$\langle x(0)x(t) \rangle = x(0)^2 \cos \omega t$$

- For (slightly) disordered set of oscillators  $\Rightarrow$  "dephasing"

$$\bar{x}(t) = \sum_i c_i x_i(t) = \sum_i c_i x_i(0) \cos \omega t$$



**Example :** Dielectric response of water



### 7.3.1 Relaxation Phenomena

Onsager's Regression Hypothesis

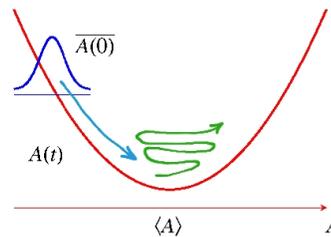
$$\frac{\overline{A(t) - \langle A \rangle}}{\overline{A(0) - \langle A \rangle}} = \frac{\langle \delta A(t) \delta A(0) \rangle}{\langle \delta A^2 \rangle}$$

non-equil. response

equil. correlation

decay of (experimentally)  
prepared non-equil. state

decay of spontaneous  
fluctuation in equil.



Microscopically proven by Fluctuation-dissipation theorem in the Linear-Response limit

### 7.3.2 Various Applications

- Transport properties (Diffusion constant)  $\Leftarrow$  velocity TCF
- Microwave & IR spectra  $\Leftarrow$  dipole TCF
- Electronic spectra  $\Leftarrow$  transition dipole TCF
- Electron / excitation transfer rates  $\Leftarrow$  TCF of energy gap (Fermi Golden Rule)
- Chemical reaction rates  $\Leftarrow$  flux-flux TCF

cf. G C Schatz & M A Ratner, "Quantum Mechanics in Chemistry" (Prentice Hall, 1993)

**Diffusion constant**  $\equiv$  mean-squares displacement (Einstein relation)

$$\langle |\mathbf{r}(t) - \mathbf{r}(0)|^2 \rangle = 6Dt$$

Relation to velocity TCF :

$$\mathbf{r}(t) - \mathbf{r}(0) = \int_0^t \mathbf{v}(\tau) d\tau \quad \Rightarrow \quad 6Dt = \int_0^t d\tau_1 \int_0^t d\tau_2 \langle \mathbf{v}(\tau_1) \mathbf{v}(\tau_2) \rangle$$

$\frac{\partial}{\partial t}$  both sides

$$6D = 2 \int_0^t d\tau \langle \mathbf{v}(\tau) \mathbf{v}(t) \rangle = 2 \int_0^t d\tau \langle \mathbf{v}(0) \mathbf{v}(t - \tau) \rangle$$

$\Leftarrow$  TCF depends only on time interval (in stationary equilibrium)

Changing the integration variable from  $\tau$  to  $\tau' \equiv t - \tau$ ,

$$D = \frac{1}{3} \int_0^t d\tau' \langle \mathbf{v}(0) \mathbf{v}(\tau') \rangle$$

## 8 Monte Carlo Simulation

Monte Carlo integration  $\Leftarrow$  random sampling

$$I = \int_0^1 f(x) dx \simeq \frac{1}{N_{\text{sample}}} \sum_{i=1}^{N_{\text{sample}}} f(x_i)$$

$\{x_i\}$  = uniform random numbers in  $[0, 1]$

Statistical average (constant temperature)  $\Leftarrow$  **Phase-space integration**

$$\langle A(q, p) \rangle = \frac{1}{Q} \int \int dp dq A(q, p) e^{-H(q, p)/k_B T}$$

where  $Q$  is the partition function :  $Q \equiv \int \int dp dq e^{-H(q, p)/k_B T}$

For momentum-independent quantities  $A(q)$  (with  $H = \sum_i \mathbf{p}_i^2/2m_i + V(q)$ )

$\Rightarrow$  **Configuration space integration**

$$\langle A \rangle = \frac{1}{Z} \int dq A(q) e^{-V(q)/k_B T}$$

$$\text{where } Z = \int dq e^{-V(q)/k_B T}$$

### 8.1 Standard MC (Metropolis algorithm)

Metropolis MC

- generates configurations  $\mathbf{R}$  in canonical ensemble
- Core algorithm :

```

do istep = 1, nstep                                ! overall Monte Carlo steps
  ...
   $\mathbf{R}_{new} = \mathbf{R}_{old} + \delta\mathbf{R}$                 ! trial move
  call calculate_potential( $V(\mathbf{R}_{new})$ )
   $\Delta V = V(\mathbf{R}_{new}) - V(\mathbf{R}_{old})$ 
  ...
  if (  $\Delta V < 0$  ) then                            !  $\mathbf{R}_{new}$  is more stable
    accept  $\mathbf{R}_{new}$ 
  else if (  $e^{-\Delta V/k_B T} > \text{random\_number}$  ) then !  $\mathbf{R}_{new}$  is less stable but thermall acceptable
    accept  $\mathbf{R}_{new}$ 
  else
    reject  $\mathbf{R}_{new}$                                 ! stay at  $\mathbf{R}_{old}$ 
  end if
  ...
end do

```

- Statistical average is calculated by:

$$\langle A \rangle = \frac{1}{N_{\text{step}}} \sum_{i=1}^{N_{\text{step}}} A(\mathbf{R}_i)$$

## MC vs MD

- direct generation of canonical ensemble (straightforward MD  $\Rightarrow$  microcanonical)
- Phase-space / configuration-space average (MD analysis  $\Rightarrow$  time average assuming the ergodic hypothesis)
- no need to evaluate forces
- no time evolution

## 8.2 Umbrella Sampling Technique

Finite length of MC sampling

$\Rightarrow$  the system may be trapped in local potential minima.

In order to extend the sampling to high potential (unstable) configurations, we augment a bias (weight / window / umbrella) potential  $W(q)$  to the original (unbiased) potential  $V(q)$ .

The statistical average obtained from this biased simulation is

$$\langle A(q) \rangle_w = \frac{1}{Q_w} \int dq A(q) e^{-\beta(V(q)+W(q))} \quad \left( Q_w = \int dq e^{-\beta(U(q)+W(q))} \right)$$

The statistics of the original (unbiased) system are reproduced by

$$\begin{aligned}
 \langle A(q) \rangle_0 &= \frac{1}{Q_0} \int dq A(q) e^{-\beta V(q)} \times \frac{Q_w}{Q_w} \\
 &= \frac{Q_w}{Q_0} \frac{1}{Q_w} \int dq A(q) e^{+\beta W(q)} e^{-\beta(V(q)+W(q))} = \frac{Q_w}{Q_0} \langle A(q) e^{+\beta W(q)} \rangle_w \\
 &= \frac{\langle A(q) e^{+\beta W(q)} \rangle_w}{\langle e^{+\beta W(q)} \rangle_w} = \langle e^{-\beta W(q)} \rangle_0 \langle A e^{+\beta W(q)} \rangle_w
 \end{aligned}$$

## 9 Free Energy Surfaces

Remember that the Gibbs free energy is related to the equilibrium constant and thus the probability distributions of the reactant and product species. For example, for  $A \rightleftharpoons B$ ,

$$e^{-\Delta G/k_B T} = K = \frac{[B]}{[A]} = \frac{\text{Prob. B}}{\text{Prob. A}}$$

This would suggest the following generalization to more general “states” of the system

$$\Delta G \equiv G_2 - G_1 = -k_B T \ln \left( \frac{\text{Prob. State 2}}{\text{Prob. State 1}} \right)$$

Now, let  $X$  be some coordinate(s) of the system. This may be a position coordinate itself or a function of positions. The free energy curves or surfaces along  $X$  can be defined and calculated from the probability distribution of  $P(X)$

$$G(X) = -k_B T \ln P(X) \quad \text{or} \quad G(X_2) - G(X_1) = -k_B T \ln \left( \frac{P(X_2)}{P(X_1)} \right)$$

For example, when  $P(X)$  is a Gaussian distribution

$$P(X) \propto e^{-aX^2}$$

then the free energy curve  $G(X)$  is a harmonic potential

$$G(X) = -k_B T \ln e^{-aX^2} + C = k_B T a X^2 + C$$

where  $C$  is just a constant coming from the normalization factor of  $P(X)$ . It is very straightforward to calculate  $P(X)$  from simulations. The umbrella sampling method discussed in the previous section can be employed for high energy (less probable) regions along  $X$ .